



| INFORMATION ON DISCLOSURE CITATION (Use several sheets if necessary) | | | | | | | ATTY. DOCKET NO. PC26122A | | | SERIAL NO. 10/678,145 | | | | | |
|---|----|-----------------|---|---|---|---|-------------------------------------|---|----------|-----------------------------|-------|----------|----------------------------|--|--|
| | | | | | | | APPLICANT Walter C. Babcock, et al. | | | FILING DATE October 3, 2003 | | | GROUP To be assigned | | |
| | | | | | | | U.S. PATENT DOCUMENTS | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | |
| J | US | 3 | 9 | 8 | 3 | 1 | 4 | 0 | 9/28/76 | Endo, et al. | 260 | 343.5 | | | |
| | US | 4 | 2 | 3 | 1 | 9 | 3 | 8 | 11/4/80 | Monaghan, et al. | 260 | 343.5 | | | |
| | US | 4 | 2 | 9 | 4 | 9 | 2 | 6 | 10/13/81 | Monaghan, et al. | 435 | 125 | | | |
| | US | 4 | 3 | 1 | 9 | 0 | 3 | 9 | 3/9/82 | Albers-Schonberg | 560 | 256 | | | |
| | US | 4 | 3 | 4 | 6 | 2 | 2 | 7 | 8/24/82 | Terahara, et al. | 560 | 119 | | | |
| | US | 4 | 4 | 1 | 0 | 6 | 2 | 9 | 10/18/83 | Terahara, et al. | 435 | 146 | | | |
| | US | 4 | 4 | 4 | 4 | 7 | 8 | 4 | 4/24/84 | Hoffman, et al. | 424 | 279 | | | |
| | US | 4 | 4 | 4 | 8 | 9 | 7 | 9 | 5/15/84 | Terahara, et al. | 549 | 292 | | | |
| | US | 4 | 4 | 5 | 0 | 1 | 7 | 1 | 5/22/84 | Hoffman, et al. | 424 | 279 | | | |
| | US | 4 | 5 | 3 | 7 | 8 | 5 | 9 | 8/27/85 | Terahara, et al. | 435 | 146 | | | |
| | US | 4 | 6 | 4 | 7 | 5 | 7 | 6 | 5/3/87 | Hoefle, et al. | 514 | 422 | | | |
| | US | 4 | 6 | 8 | 1 | 8 | 9 | 3 | 7/21/87 | Roth | 514 | 422 | | | |
| | US | 4 | 6 | 8 | 6 | 2 | 3 | 7 | 8/11/87 | Anderson | 514 | 532 | | | |
| | US | 4 | 7 | 3 | 9 | 0 | 7 | 3 | 4/19/88 | Kathawala | 548 | 406 | | | |
| | US | 4 | 7 | 6 | 9 | 2 | 3 | 6 | 9/6/88 | Panoz, et al. | 424 | 80 | | | |
| | US | 4 | 8 | 2 | 0 | 8 | 5 | 0 | 4/11/89 | Verhoeven, et al. | 549 | 292 | | | |
| | US | 4 | 8 | 6 | 6 | 0 | 5 | 8 | 9/12/89 | Izydore, et al. | 514 | 241 | | | |
| | US | 4 | 9 | 1 | 1 | 1 | 6 | 5 | 3/27/90 | Lennard, et al. | 606 | 231 | | | |
| | US | 4 | 9 | 1 | 6 | 2 | 3 | 9 | 4/10/90 | Trelber | 549 | 202 | | | |
| | US | 4 | 9 | 4 | 0 | 8 | 0 | 0 | 7/10/90 | Bertolini, et al. | 548 | 327 | | | |
| | US | 4 | 9 | 4 | 9 | 4 | 3 | 7 | 8/21/90 | Anderson | 24 | 712.2 | | | |
| | US | 4 | 9 | 7 | 0 | 2 | 2 | 1 | 11/13/90 | Magnin, et al. | 514 | 365 | | | |
| | US | 5 | 0 | 0 | 8 | 1 | 1 | 4 | 4/16/91 | Lovrecich | 424 | 484 | | | |
| | US | 5 | 0 | 1 | 0 | 1 | 0 | 5 | 4/23/91 | Lee | 514 | 510 | | | |
| | US | 5 | 0 | 1 | 1 | 9 | 4 | 7 | 4/30/91 | Catt, et al. | 549 | 292 | | | |
| | US | 5 | 0 | 2 | 5 | 0 | 1 | 7 | 6/18/91 | Karanewsky | 514 | 277 | | | |
| | US | 5 | 0 | 3 | 0 | 4 | 4 | 7 | 7/9/91 | Joshi, et al. | 424 | 80 | | | |



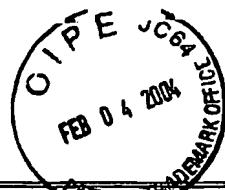
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| | | | | | | | | APPLICANT Walter C. Babcock, et al. | | | FILING DATE October 3, 2003 | | | GROUP To be assigned | | |
| | | | | | | | | US | 6 | 1 | 4 | 0 | 3 | 4 | 3 | 10/31/00 |
| US | 6 | 1 | 4 | 0 | 3 | 4 | 2 | 10/31/00 | Goldstein, et al. | 514 | 313 | | | | | |
| US | 6 | 1 | 4 | 7 | 0 | 8 | 9 | 11/14/00 | DeNinno, et al. | 514 | 313 | | | | | |
| US | 6 | 1 | 4 | 7 | 0 | 9 | 0 | 11/14/00 | DeNinno, et al. | 514 | 313 | | | | | |
| US | 6 | 1 | 9 | 7 | 7 | 8 | 6 | 3/6/01 | DeNinno, et al. | 514 | 313 | | | | | |
| **US | 6 | 2 | 0 | 7 | 6 | 7 | 1 | 3/27/01 | Schmidt, et al. | 514 | 277 | | | | | |
| US | R | E | 3 | 7 | 3 | 1 | 4 | 8/7/01 | Hiral, et al. | 514 | 316 | | | | | |
| US | 6 | 3 | 1 | 0 | 0 | 7 | 5 | 10/30/01 | DeNinno, et al. | 514 | 313 | | | | | |
| US | 6 | 3 | 1 | 3 | 1 | 4 | 2 | 11/6/01 | Damon, et al. | 514 | 313 | | | | | |
| US | 6 | 4 | 6 | 2 | 0 | 9 | 1 | 10/8/02 | Keller, et al. | 514 | 655 | | | | | |
| US | 0 | 0 | 3 | 5 | 1 | 2 | 5 | 3/21/02 | Shear | 514 | 313 | | | | | |

FOREIGN PATENT DOCUMENTS

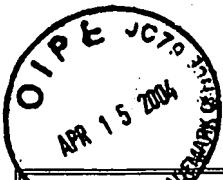
| | DOCUMENT NUMBER | | | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---|-----------------|---|---|---|---|---|---|---|----------|---------------|-------|----------|-------------|----|
| | EP | 0 | 1 | 4 | 2 | 1 | 4 | 6 | | | | | YES | NO |
| J | EP | 0 | 2 | 2 | 1 | 0 | 2 | 5 | 5/22/85 | European | C07C | 59/90 | | |
| J | EP | 0 | 2 | 2 | 1 | 0 | 2 | 5 | 5/6/87 | European | C07D | 207/337 | | |
| J | ***EP | 1 | 0 | 2 | 0 | 4 | 3 | 9 | 7/19/00 | European | C07C | 323/40 | | |
| J | EP | 0 | 4 | 9 | 1 | 2 | 2 | 6 | 8/14/96 | European | C07D | 213/55 | | |
| J | EP | 0 | 5 | 2 | 1 | 4 | 7 | 1 | 10/25/00 | European | C07D | 239/42 | | |
| J | EP | 0 | 8 | 1 | 8 | 4 | 4 | 8 | 1/14/98 | European | C07D | 215/20 | | |
| J | WO | 8 | 6 | 0 | 3 | 4 | 8 | 8 | 6/19/86 | International | C07C | 69/738 | | |
| J | WO | 8 | 6 | 0 | 7 | 0 | 5 | 4 | 4/12/86 | International | C07D | 233/64 | | |
| J | WO | 9 | 8 | 3 | 5 | 9 | 3 | 7 | 8/20/98 | International | C07C | 323/40 | | |
| J | WO | 9 | 8 | 3 | 9 | 2 | 9 | 9 | 9/11/98 | International | C07D | 215/20 | | |
| J | WO | 9 | 9 | 1 | 4 | 1 | 7 | 4 | 3/25/99 | International | C07C | 35/52 | | |
| J | WO | 9 | 9 | 1 | 4 | 2 | 0 | 4 | 3/25/99 | International | C07D | 249/12 | | |
| J | WO | 9 | 9 | 1 | 4 | 2 | 1 | 5 | 3/25/99 | International | C07D | 409/04 | | |
| J | WO | 9 | 9 | 1 | 5 | 5 | 0 | 4 | 4/1/99 | International | C07D | 215/20 | | |
| J | WO | 9 | 9 | 4 | 1 | 2 | 3 | 7 | 8/19/99 | International | C07D | 213/80 | | |



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| | | | | | | | APPLICANT Walter C. Babcock, et al. | | | | FILING DATE October 3, 2003 | | | | GROUP To be assigned | | |
| | | | | | | | WO | 0 | 0 | 1 | 8 | 7 | 2 | 1 | 4/6/00 | International | C07C |
| WO | 0 | 0 | 1 | 8 | 7 | 2 | 3 | 4/6/00 | International | C07C | 217/52 | | | | | | |
| WO | 0 | 0 | 1 | 8 | 7 | 2 | 4 | 4/6/00 | International | C07C | 217/90 | | | | | | |
| WO | 0 | 1 | 4 | 0 | 1 | 9 | 0 | 6/7/01 | International | C07D | 215/42 | | | | | | |
| WO | 0 | 2 | 1 | 3 | 7 | 9 | 7 | 2/21/02 | International | A61K | 31/00 | | | | | | |
| WO | 02 | 0 | 5 | 9 | 0 | 7 | 7 | 8/1/02 | International | C07C | 233/18 | | | | | | |
| WO | 02 | 0 | 8 | 8 | 0 | 6 | 9 | 11/7/02 | International | C07C | 255/00 | | | | | | |
| WO | 02 | 0 | 8 | 8 | 0 | 8 | 5 | 11/7/02 | International | C07D | 215/00 | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | | | | | | |
| U.S. non-provisional application number 10/173,987, filed June 17, 2002 (our reference: PC23062A). | | | | | | | | | | | | | | | | | |
| U.S. provisional application number 60/353,986, filed February 1, 2002 (our reference: PC23203). | | | | | | | | | | | | | | | | | |
| U.S. provisional application number 60/354,080, filed February 1, 2002 (our reference: PC23195). | | | | | | | | | | | | | | | | | |
| U.S. provisional application number 60/435,345, filed December 20, 2002 (our reference: PC25108). | | | | | | | | | | | | | | | | | |
| U.S. provisional application number 60/435,298, filed December 20, 2002 (our reference: PC2554). | | | | | | | | | | | | | | | | | |
| U.S. provisional application number 10/175,566, filed June 17, 2002 (our reference: PC11876A). | | | | | | | | | | | | | | | | | |
| Perry's Chemical Engineers' Handbook, Sixth Edition (R. H. Perry, D. W. Green, J. O. Maloney, eds.) McGraw-Hill Book Co. 1984, pages 20-54 to 20-57. | | | | | | | | | | | | | | | | | |
| Marshall, Jr., W. R., <i>Atomization and Spray-Drying</i> , Chem. Eng. Monogr. Series 2 (1954). | | | | | | | | | | | | | | | | | |
| Gordon, D. J., et al., <i>High-Density Lipoprotein Cholesterol and Cardiovascular Disease</i> , <u>Circulation</u> , vol. 79, no. 1, January 1989. | | | | | | | | | | | | | | | | | |
| Remington: <i>The Science and Practice of Pharmacy</i> , 20 th Edition (2000). | | | | | | | | | | | | | | | | | |
| Encyclopedia of Chemical Technology, 4th Edition (John Wiley & Sons, 1991). | | | | | | | | | | | | | | | | | |
| *English language equivalent to EP 0818448. | | | | | | | | | | | | | | | | | |
| **English language equivalent to EP 049226. | | | | | | | | | | | | | | | | | |
| ***English language equivalent to WO 98/35937. | | | | | | | | | | | | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | | | | | | | | | | | |
| <p><i>W</i></p> <p>6/6/07</p> | | | | | | | | | | | | | | | | | |
| <p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | | | | | | | | | | | | | | | | | |



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| | | | | | | | APPLICANT Walter C. Babcock, et al. | | | | | |
| | | | | | | | FILING DATE October 3, 2003 | | | GROUP To be assigned | | |
| | US | 5 | 0 | 4 | 9 | 5 | 7 | 7 | 9/17/91 | Varma, et al. | 514 | 409 |
| | US | 5 | 0 | 4 | 9 | 6 | 9 | 6 | 9/17/91 | Lee, et al. | 560 | 75 |
| | US | 5 | 0 | 8 | 1 | 1 | 3 | 6 | 1/14/92 | Bertolini, et al. | 514 | 359 |
| | US | 5 | 0 | 9 | 9 | 0 | 3 | 5 | 3/24/92 | Saunders, et al. | 549 | 292 |
| | US | 5 | 1 | 0 | 6 | 9 | 9 | 2 | 4/21/92 | Magnin, et al. | 548 | 200 |
| | US | 5 | 1 | 1 | 0 | 9 | 4 | 0 | 5/5/92 | Sit, et al. | 548 | 252 |
| | US | 5 | 1 | 1 | 8 | 8 | 5 | 3 | 6/2/92 | Lee, et al. | 564 | 502 |
| | US | 5 | 1 | 5 | 7 | 1 | 3 | 4 | 10/20/92 | Karanewsky | 549 | 463 |
| | US | 5 | 1 | 6 | 6 | 3 | 6 | 4 | 11/24/92 | Saunders, et al. | 549 | 214 |
| | US | 5 | 1 | 7 | 7 | 0 | 8 | 0 | 1/5/93 | Angerbauer, et al. | 514 | 277 |
| | US | 5 | 1 | 8 | 0 | 5 | 8 | 9 | 1/19/93 | Joshi, et al. | 424 | 465 |
| | US | 5 | 1 | 8 | 9 | 1 | 6 | 4 | 2/23/93 | Kapa, et al. | 544 | 297 |
| | US | 5 | 1 | 8 | 9 | 1 | 8 | 0 | 2/23/93 | Karanewsky | 549 | 214 |
| | US | 5 | 1 | 9 | 6 | 4 | 4 | 0 | 3/23/93 | Bertolini, et al. | 514 | 339 |
| | US | 5 | 2 | 1 | 7 | 9 | 9 | 2 | 6/8/93 | Wright, et al. | 514 | 458 |
| | US | 5 | 2 | 2 | 5 | 1 | 9 | 2 | 7/6/93 | Lovrecich | 424 | 78.02 |
| | US | 5 | 2 | 6 | 0 | 4 | 4 | 0 | 11/9/93 | Hirai, et al. | 544 | 322 |
| | US | 5 | 2 | 7 | 3 | 9 | 9 | 5 | 12/28/93 | Roth | 514 | 422 |
| | US | 5 | 2 | 7 | 5 | 8 | 2 | 4 | 1/4/94 | Carli, et al. | 424 | 490 |
| | US | 5 | 2 | 9 | 0 | 9 | 4 | 6 | 3/1/94 | Lee, et al. | 548 | 502 |
| | US | 5 | 3 | 4 | 2 | 9 | 5 | 2 | 8/30/94 | Butler, et al. | 546 | 245 |
| | US | 5 | 3 | 5 | 4 | 5 | 6 | 0 | 10/11/94 | Lovrecich | 424 | 489 |
| | US | 5 | 3 | 5 | 4 | 7 | 7 | 2 | 10/11/94 | Kathawala | 514 | 414 |
| | US | 5 | 3 | 5 | 6 | 8 | 9 | 6 | 10/18/94 | Kabadi, et al. | 514 | 256 |
| | US | 5 | 4 | 4 | 9 | 5 | 2 | 1 | 9/12/95 | Lovrecich | 424 | 489 |
| | US | 5 | 4 | 8 | 9 | 6 | 9 | 1 | 2/6/96 | Butler, et al. | 548 | 517 |
| | US | 5 | 5 | 6 | 9 | 4 | 6 | 9 | 10/29/96 | Lovrecich | 424 | 501 |
| | US | 5 | 9 | 3 | 2 | 5 | 8 | 7 | 8/3/99 | Schmeck, et al. | 514 | 278 |
| | *US | 6 | 0 | 6 | 9 | 1 | 4 | 8 | 5/30/00 | Schmidt, et al. | 514 | 277 |



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| | | APPLICANT Walter C. Babcock, et al. | |
| | | FILING DATE October 6, 2003 | GROUP 1617 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|--|--|--|--|--|--|--|------|------|-------|----------|----------------------------|
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FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|------|---------|-------|----------|-------------|----|
| | | | | | YES | NO |
| h WO 0 0 3 8 7 2 2 7/6/00 International A61K 45/06 | | | | | | |
| h WO 0 2 1 1 7 1 0 2/14/02 International A61K 31/00 | | | | | | |
| h WO 2004 0 0 4 7 7 8 1/15/04 International A61K 45/06 | | | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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